

JCWSCS 07 JAN 2004

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**FACSIMILE TRANSMISSION**

TO : OFFICE OF INITIAL PATENT EXAMINATION'S  
FILING RECEIPT CORRECTIONS

FAX #: (703) 746-9195

FROM: THOMAS M. GALGANO, ESQ.

DATE: JANUARY 6, 2004

RE: SERIAL NO. 10/672,493

TOTAL NUMBER OF PAGES INCLUDING COVER 9

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I hereby certify that this correspondence is being forwarded  
via fax (703) 748-9195 to Commissioner for Patents,  
Alexandria, Virginia 22313-1450 on January 6, 2004.

By: 

Dated: 1/6/04

PATENT  
DOCKET NO. 1685-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : THOMAS BERGER  
SERIAL NO. : 10/672,493  
FOR : ABANDONED PROPERTY ESCHEAT ASSIGNMENT  
AND REPORTING SYSTEM  
FILED : SEPTEMBER 26, 2003  
ART UNIT : 2171

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**Att: Office of Initial Patent Examination's Filing Receipt Corrections**

Dear Sir:

Attached is the Official Filing Receipt for the above-identified  
application, which contains an error. Specifically, the number of total claims should be

20, rather than 19, as indicated on the filing receipt. Please note that Claim #6 appears at the bottom of page 19 and ends on the top of page 20.

Based upon a review of the application file, Applicant's attorney respectfully submits that this error was due to an oversight by the U.S. Patent and Trademark Office (PTO).

Accordingly, it is respectfully requested that no charge be made for attending to the issuance of a corrected Official Filing Receipt for this application.

Respectfully submitted,



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Enclosures    Official Filing Receipt  
                    Copy of Claims submitted with application  
                    Copy of original Transmittal Letter

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/672,493	09/26/2003	2171	375	1685-3	9	19	1

Galgano & Burke  
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Hauppauge, NY 11788

CONFIRMATION NO. 3531

## FILING RECEIPT



\*OC000000011521456\*

Date Mailed: 12/18/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas Berger, Northport, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 12/17/2003

Projected Publication Date: 03/31/2005

N n-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Abandoned property escheat assignment and reporting system

## Pr liminary Class

707

Claims:

1. A method for determining the correct escheat jurisdiction for abandoned property, said method comprising:
  - interrogating a data file of property owner addresses;
  - comparing the addresses to certified data base addresses certified to be in existence; and
  - assigning a probable escheat jurisdiction based on the comparison.
2. The method according to claim 1, further comprising:
  - assigning a confidence code to the escheat jurisdiction determination.
3. The method according to claim 1, further comprising:
  - making corrections to property owner addresses based on the comparison.
4. The method according to claim 1, further comprising:
  - filtering non-address information from the property owner data base prior to said step of comparing.
5. The method according to claim 4, wherein:
  - the non-address information includes legal designations of the type of property ownership.
6. The method according to claim 1, further comprising:

assigning an alternate escheat jurisdiction based on the comparison.

7. The method according to claim 1, further comprising:

comparing the name and address data to a list selected from the group consisting of foreign countries, terrorists, international narcotics traffickers, and those engaged in activities related to the proliferation of weapons of mass destruction; and

flagging the accounts which include data that match the list.

8. The method according to claim 1, further comprising:

comparing the addresses to a list of location designations.

9. The method according to claim 3, further comprising:

indicating which addresses were corrected.

10. The method according to claim 9, further comprising:

indicating the type of correction made.

11. The method according to claim 1, wherein:

said step of comparing includes comparing data found in city, state/province, and zip/postal code fields.

12. The method according to claim 11, further comprising:

correcting the property owner address zip/postal code when city, state/province, and additional address components are correct as per USPS or foreign postal guidelines, but the zip/postal code is incorrect by the comparison.

13. The method according to claim 11, further comprising:

correcting the property owner address state when the city, zip/postal code, and additional address components are correct as per USPS or foreign postal guidelines, but the state is incorrect by the comparison.

14. The method according to claim 11, further comprising:

correcting the property owner address state when the zip/postal code is missing or incorrect and the state/province is missing and the city name exists in more than one state/province, said step of appending the property owner address state/province based on a statistical analysis of the number of zip/postal codes for the city in each state/province having the city.

15. The method according to claim 14, wherein:

the statistical analysis includes comparison to a user selected high threshold.

16. The method according to claim 15, wherein:

the statistical analysis includes comparison to a user selected low threshold.

17. The method according to claim 1, further comprising:

prior to said step of comparing, filtering the property owner addresses based on user supplied suppression data.

18. The method according to claim 1, further comprising:

indicating how the probable escheat jurisdiction was assigned.

19. The method according to claim 2, further comprising:

generating a report that defines the composition of the abandoned property database and summarizes metrics by the confidence code.

20. The method according to claim 1, wherein:

said method is performed with a computer coupled to the Internet.

/jgg

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**FEE TRANSMITTAL  
FOR FY 2003**

Effective 01/01/2000. Patent fees are subject to annual revision

☐ Applicant claims small entity status.  
See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)**Application Number:  
Filing Date:  
First Named Inventor:  
Examiner Name:  
Group Art Unit:  
Attorney Docket No.:

Complete If Known

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit Account Number: **07-0130**Deposit Account Name: **Galgano & Burke**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application except for issue fee☐ Charge fee(s) indicated below, except for filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	\$ 375.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1) (\$)** 375.00**2. EXTRA CLAIMS FEES FOR UTILITY & REISSUE**

Extra Claims Fee from Fee Paid

Total Claims 20 - 20\*\* =      x      =     Independent Claims 1 - 3\*\* =      x      =     Multiple Dependent      =     

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	**Reissue independent claims over original patent	
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)** 375.00\*\*or number previously paid, if greater;  
For Reissues, see above**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130		2051 65		Surcharge - late filing	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1805 2520		1812 2520		For filing a request for ex parte reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1840*		1805 1840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 410		2252 205		Extension for reply within second month	
1253 930		2253 465		Extension for reply within third month	
1254 1450		2254 725		Extension for reply within fourth month	
1255 1970		2255 985		Extension for reply within fifth month	
1401 320		2401 160		Notice of Appeal	
1402 320		2402 160		Filing a brief in support of an appeal	
1403 280		2403 140		Request for oral hearing	
1451 1510		1451 1510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1300		2453 650		Petition to revive - unintentional	
1501 1300		2501 650		Utility issue fee (or reissue)	
1502 470		2502 235		Design issue fee	
1503 630		2503 315		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	
1807 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1808 180		1808 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 750		2809 375		Filing a submission after final rejection 37 CFR §1.129(a))	
1810 750		2810 375		For each additional invention to be examined 37 CFR §1.129(b))	
1801 750		2801 375		Request for Continued Examination (RCE)	
1802 900		1802 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY

COMPLETE (if applicable)

Name (Print/Type) **Thomas M. Galgano**Registration No. **27,638**Telephone: **631-582-6161**

Signature

Date **9/26/03**

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